



Supplier
Qualification
Scheme

Audit



Learn more about how the RISQS Audit works

Get independent qualification through the industry scheme



How the RISQS Audit Works

There are two ‘phases’ to becoming fully RISQS qualified. First is the verification of the questionnaire, products and services each supplier offers. Second is the RISQS audit which is for those product and service codes deemed to be high risk, or when the location of the service delivery is deemed to be high risk. If a supplier wishes to become a member of RISQS they will be prompted to answer questions about their business and what they wish to offer to the RISQS rail industry buyers.

The product and service codes used by RISQS are defined by specific activities at their final level in the hierarchy. Further information relating to those activities can be found below or in the Products & Services Guide at www.risqs.org

N-Provide Service	P-Supply	Q-Design	R-Operate	S-Construct/Build	T-Commission
Applicable to owners of plant who hire out their plant. Please note that where you are supplying an operator, and dependent on the type plant, you will also need to hold either the contingent labour code 07.06.07 (plant operators) or 07.06.08 (OTP operators)	Applicable to suppliers/original equipment manufacturers of on-track plant, attachments and associated safety critical equipment	Applicable to suppliers providing outline or detailed design services	Applicable to suppliers engaged in the control and management of on-track plant operations	Applicable to suppliers who undertake building or civil engineering works “on-site”	Applicable to suppliers who undertake commissioning of installations and construction work
U-Dispose	V-Maintain	W-Overhaul	X-Install	Y-Modify	Z-Decommission
Applicable to supplier who undertake the disposal of assets, including materials and parts	Applicable to suppliers offering the service of maintenance and repair of on-track plant to others	Applicable to suppliers offering the service of refurbishment of on-track plant to others	Applicable to suppliers who undertake installation works “on-site”	Applicable to suppliers offering the service of modification, upgrading and retrofitting of buildings, structures, or on-track plant to others	Applicable to suppliers who undertake decommissioning of installations and construction work



Audit Modules

The RISQS audit is built around the services the supplier offers and is split into four modules. The modules cover different risk elements imported by a supplier based on the risk that service, or location of the work poses.

The base module is the Industry Minimum Requirements (IMR); all suppliers undergoing audit must pass this audit module to supply against the products and services that they provide. If the supplier also works on the operational railway in the area known as “on or near the line” they must also pass the Sentinel audit module.

There are then two other modules that can be added and these are based on specific services that can be provided by suppliers.

Safe Work Planning (SWP) – this module is required for all suppliers that plan to protect workers from the operational railway by creating Safe Systems of Work Packs (SSWoP). These must be planned to meet a specific standard by planners qualified to the specific sentinel competence.

The last module is the On-Track Plant Operations Scheme (POS) – this audit module is undertaken by all organisations that wish to hire out or operate their own OTP on the Network Rail infrastructure.

MODULE 1 - Industry minimum requirements (IMR)		Activity Groups		
Number of Level 1 Product Codes containing Auditable Activities		Number of Activity Groups		
Auditable Level 1 Product Codes A - Transverse Railway Services & Supplies B - Control Command & Signalling C - Infrastructure D - Plant F - Energy H - General Business Supplies & Services	1	1*	1.25	1.5
	2	1.25	1.5	2
	3	1.5	2	2.5
	4	2	2.5	2.75
	5	2.5	2.75	3
	6	2.75	3	3.5
	(Audit duration in days)			
* If also Sentinel, duration reduced to 0.5 days				
MODULE 2 - Sentinel	◀ 50 Sponsored Staff	▶ 50 Sponsored Staff	Non-Trackside Declaration	
	0.5	1	£50 +VAT	
	(Audit duration in days)			
MODULE 3 - Safe Work Planning (SWP)	0.5	1 (where both modules are undertaken)		(Audit duration in days)
MODULE 4 - On-Track-Plant operations scheme (POS)	0.75			
Medical Assessment Module	1 day audit (£1312.50 + VAT)			
NB. With the exception of the Medical Assessment Module the audit cost is based on a day rate of £995 +VAT £945+VAT We are retaining the discounted £895 day rate for very small organisations (see Scheme rules on the website for details).				



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The RISQS Audit Process

Once you join RISQS and receive login details you will be directed to complete the verification questionnaire. During this process you will need to select product and service (RICCL) codes, some of which will trigger an audit. This will determine the audit content and duration.

What happens next?

Once the RISQS audit has been completed this status will update within the RISQS portal after approximately 10 days for buyers to view.

You will then have the ability to download the audit reports, RISQS audit certificate and RISQS qualified logo.

The RISQS audit is valid for 12 months from the date of pass for new suppliers to RISQS; this then becomes your anniversary date for audit. The RISQS Scheduling Team will then call before your expiry date the following year to book another audit and check nothing has changed to affect the scope of the audit in question.

Contact RISQS

If you any questions regarding the RISQS audit please contact us on (0) 800 4101 300 or email info@risqs.org

Join the 4,000 plus suppliers already demonstrating their capabilities to the leading buyer organisations



A Better,
Safer
Railway