

Using the answers to the next 5 questions will enable you to calculate the duration of your RISQS audit for IMR, Sentinel, SWP and/or POS modules.

Should you require any further clarification on calculating your audit length contact the customer support team on 0800 4101 300 opt 1.

1. If the answer is ‘Yes’ to either of the following two questions, then you are required to undertake an audit.

- Do you have ‘auditable’ product codes?
- Does your staff currently or will your staff in the future need to hold Trackside competencies within Sentinel?

2. Do you have any ‘auditable’ product or service codes?

- Within the RICCL structure where a product code is auditable is denoted with **A** and verified denote with **V**, this has been determined based on the risk level of the activity for the product or service.
- If all your RICCL codes are ‘verified’ then no audit is required for your products or services, proceed to Question 4 to calculate the audit duration.
- If you have RICCL codes which are both ‘auditable’ and ‘verified’ then only those which are ‘auditable’ will need to be considered when determining your audit duration.

3. How many Level 1 product codes contain auditable Activities?

- The level 1 product code is determined by the first letter of your selected RICCL code.
- The activities are listed below and have been put into 3 activity groups, you can have more than one activity within a group and it counts as one group.

Level 1 Product Code		Auditable Activity Groups	
A - Transverse Railway Services & Supplies	Y/N	Q - Design	Y/N
B - Control Command & Signalling	Y/N	S - Construct, T - Commission, U - Dispose, V - Maintain, W - Overhaul, X - Install, Y - Modify, Z – Decommission	Y/N
C - Infrastructure	Y/N	M - Manufacture, N - Provide Service, P - Supply, R – Operate	Y/N
D - Plant	Y/N		
E - Energy	Y/N		
F – General Business Supplies & Services	Y/N		
How many Level 1’s		How many activity groups	

4. Does your staff currently or will your staff in the future need to hold Trackside competencies within Sentinel?

- If your need to sponsor staff to hold competencies within Sentinel, how many staff?
- More than or equal to 50 or less than 50, this should be calculated as an average over a 12-month period (RISQS is provided your average from the Sentinel system).

	applicable
< 50	Y/N
≥ 50	Y/N

5. Do you require a Safe Work Planning audit AND an On-Track Plant Operations audit?

- Each of these modules are selected in the questionnaire, where both are scheduled to be audited at the same time there is a reduction in the audit duration?

	applicable
SWP	Y/N
POS	Y/N

Audit calculator

MODULE 1 - INDUSTRY MINIMUM RERQUIREMENTS (IMR)		Activity Groups • Q- Design • S - Construct, T - Commission, U - Dispose, V - Maintain, W - Overhaul, X - Install, Y - Modify, Z - Decommission • M - Manufacture, N - Provide Service, P - Supply, R - Operate		
Number of Level 1 Product Codes containing Auditable Activities		Number of Activity Groups		
	1	1	2	3
Auditable Level 1 Product Codes	1	1*!	1.25	1.5
• A - Transverse Railway Services & Supplies	2	1.25	1.5	2
• B - Control Command & Signalling	3	1.5	2	2.5
• C - Infrastructure	4	2	2.5	2.75
• D - Plant	5	2.5	2.75	3
• F - Energy	6	2.75	3	3.5
• H - General Business Supplies & Services				
		(Audit duration in days)		
* If also Sentinel <50, duration reduced to 0.5 days				
! No further modules added charge is £895 & VAT				
MODULE 2 - SENTINEL		staff (av/12mth)		
	≥ 50	< 50	Non-Trackside Declaration	
	Sponsored Staff	Sponsored Staff		
	1	0.5	£50 +VAT	
	(Audit duration in days)			
MODULE 3 - SAFE WORK PLANNING	0.5	1 (where both modules are audited)	(Audit duration in days)	
MODULE 4 - ON-TRACK PLANT OPERATIONS	0.75			

Example – shown in the calculator below, in red and blue:

2 RICCL Code Levels (A and C)
 2 Activity Groups covering: T-Commission, U-Dispose, X-Install, Z-Decommission and M-Manufacture
 70 Sentinel staff ‘more than 50’
 SWP module
 = 1.5 + 1 + 0.5 = 3 audit days.

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