

RISQS, the journey, and future development

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Items to cover

- Old RISQS
- The difficult but successful transition
- Audit issues
- Enhancements through the journey
- Increased searches
- Governance set up
- Price reduction
- How we are investing in the scheme
- Future of the audit

Old RISQS

- RISQS was provided as a concession contact by Achilles
 - inflexible platform
 - changes took 6-9 months
 - agreed by other platform users
 - some changes could not be done
 - in Jan 2018
 - 2450 organisations requiring an audit but only 1847 with an audit
 - 603 (25%) non compliant suppliers – no audit in place
 - 4% audit failure rate
 - Achilles contracted directly with RISQS members

The difficult but successful transition

- RISQS was transitioned **on schedule** 1st May 2018
 - over 4000 suppliers information was transferred (by various medias including pdf scanning)
 - 3382 organisations with searchable data
 - twice round the country events
 - cleansed the data confirming 101 active buyers
- This was despite mixed messages from outgoing provider and expired / archived data
- Thanks to the **RISQS transition team and our service providers** who all played a significant part in the successful transition



But of course it was not all plain sailing

- Initial issues which led to pushing back audits / 3 month extensions
 - not enough auditors
 - Audit provider let down by subcontractor
 - Audit calculator error
 - Achilles cancelling audits late
 - late shape changes
- Increased resource and ongoing recruitment
 - on schedule to complete all audits
 - on target for 2019/20

Enhancements through this journey

- We have continued to enhance the RISQS service
 - added video ‘how to guides’ on the relevant screens
 - bulletins to targeted audiences
 - made changes to comparison feature within searches
 - suppliers get free tender notices relating to their product codes (ability to select non rail activities)
 - developed supplier summary report for other Clients
 - application programming interface (API’s) for buyers
 - auto populate a PAS91 questionnaire
 - improved quality checking of audit reports
 - shortlisted Supplier Questions (SSQ) for all buyers

Increased pre-qualification searches

	YTD
Supplier Patron - total number of directory searches in period	21932
Buyer - total number of directory searches in period	31461
Buyer - total number of advanced searches in period	6374
SSQ's raised in period (double the average ytd)	128

NOTE:

20 SSQs last period (128 YTD) published via the RISQS platform this is double the average

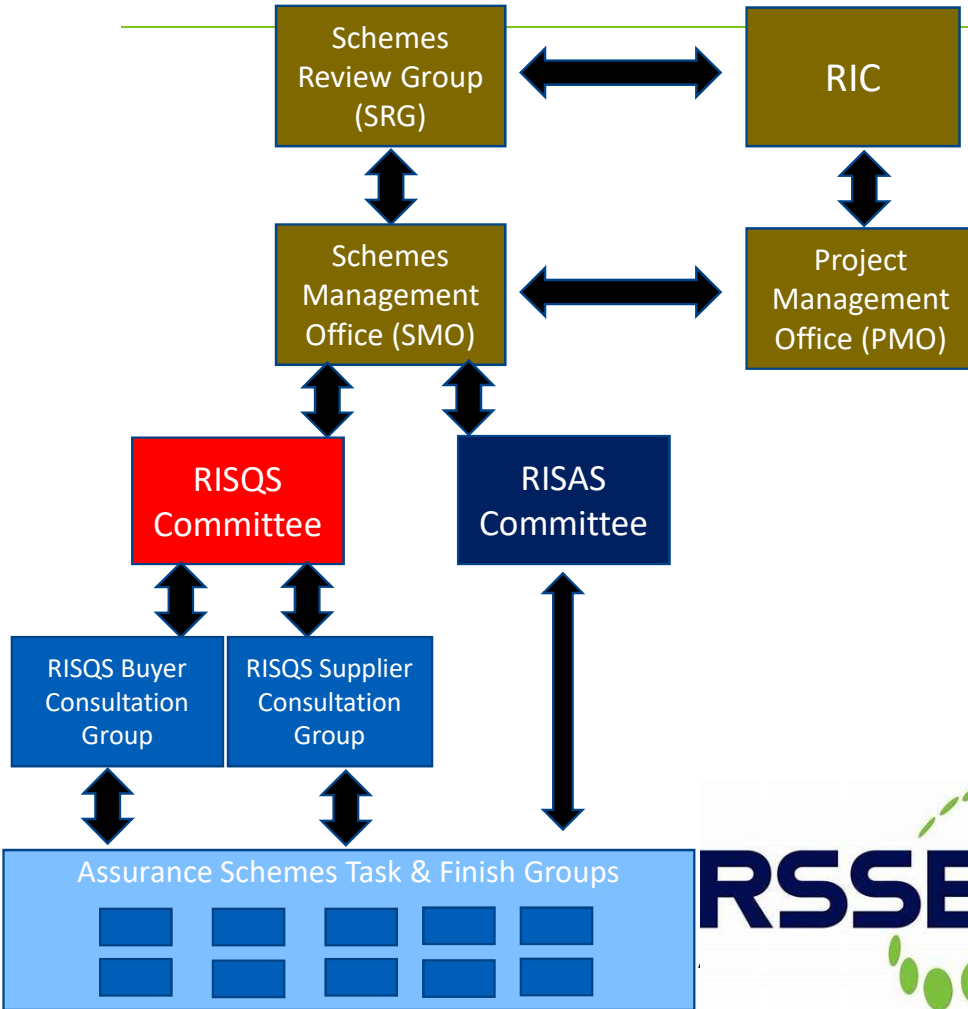
There have been 6374 advanced searches YTD, some buyers do these advanced search in RISQS then use the list to issue SSQs through their in-house system.



Supplier
Qualification
Scheme



Critical
Component
Certification



SRG

- Provides governance , supporting timely intervention and decision making across RSSB assurance schemes
- Monitors health of Schemes against agreed KPI's
- Considers & supports scheme investment proposals and refers to RIC for sign off
- Reviews progress against planned change activity
- Strategy implementation Reviews
- Reviews risks escalated by committee's or other industry bodies

RIC

- Governing body for assurance scheme investments

SMO

- Day to day management of assurance schemes
- Sets strategy for the schemes in collaboration with committees, consultation groups and SRG
- Proposes and manages scheme improvement projects with PMO
- Management of scheme service providers
- Management and owners of scheme risks

RISQS Committee

- Provide advice and recommendations for the development of scheme policy and strategy, scheme operations, external scheme comms and promotions and business planning to SMO and SRG
- Monitors operational performance of the scheme
- Initial escalation point after the SMO for appeal determinations

RISAS Committee

- Provides advice and guidance to RSSB on scheme Policy and strategy
- Scheme Communications and promotion
- Advising on Scheme Operations, including performance measures
- Acts as an Impartial Committee for RISAS Accreditation Agency
- Oversees and contributes to scheme strategy and development

Consultation Groups

- Provide feedback to committee's on scheme performance, scheme rules, product codes and supplier satisfaction.
- Proposes future innovation / improvements to committee's
- Oversees delivery of scheme enhancements and creates and supports task and finish groups.

Task & Finish Groups:

- Created & sponsored by consultation groups to help deliver specific projects after which group is disbanded
- Can only be created in line with defined T&F group criteria as defined in RSSB Terms of Sponsorship document
- Must be set up as a project working group
- Ad-hoc escalation of any concerns/risks/issues to consultation group and committee



Price reduction

- Since RISQS has been under the governance of RSSB, we forced a reduction to the audit charge rate in 2012 and to date maintained these rates as well as the cost of membership

- Future Subscription

Band	Current charge	New charge
1	£365	£349
2	£565	£549
3	£895	£875
4	£1,285	£1,275

Audit day rate fee

Current charge Per day	New charge per day
£995	£945

We are also retaining the £895 fee for very small companies

- These rates will be held for the next 2 years
- This represents more than £400k off the current fees and a price reduction of 25% across the scheme since 2012

How we are investing in the scheme

- RSSB supplier assurance team and suggestions through the scheme governance, have identified enhancements which require investment to implement.
- Benchmarking / maturity modelling
 - working group
 - may require platform changes
 - development of reports
 - additional questions
- Onboarding of supply chains for buyers
 - supply chain mapping
 - additional buyer requirements (equality, diversity and inclusion / modern slavery)
- Audit booking & reporting tool

Future of the audit

- Working group reviewing the protocols and reports
 - remove remaining duplication and make it cohesive
 - remove any remaining potential for tick box answers, changing wording
 - from 'does the organisation' which enables a supplier to say 'no we don't' or 'that doesn't apply to what we do'
 - to the organisation shall demonstrate how they meet occupational health surveillance requirements for the services it offers and the work it undertakes'
- Benchmarking / Maturity modelling – Working Group

Summary

RSSB has delivered:

- The new platform and audit to time
- The commitment to review the pricing, introducing reductions to both Membership and Audit fees
- New Governance structure that enables greater industry say and participation
 - Identify future development opportunities
 - Feedback on scheme operation

RSSB is committed to future development and investment for the scheme

The slide features a blue background with the text "Divider slide" in white. The slide is part of a larger presentation with various colored blocks: a light green block at the top left, a dark teal block at the top right, a light teal block on the right side, a dark blue block at the bottom right, and a dark green block at the bottom right. A small green square with the number 13 is located in the bottom left corner.

Divider slide

The image features a central horizontal band of bright blue color. Above this band are three rectangular blocks: a light green block on the left, a dark teal block in the middle, and a vibrant green block on the right. Below the blue band are three more rectangular blocks: a medium blue block on the left, a dark navy blue block in the middle, and a dark forest green block on the right. The text "Thank you" is centered within the blue band.

Thank you